

IN THE UNITED STATES PATENT AND PATENT
TRADEMARK OFFICE

*Reply to Notification of Missing Requirements
Under 35 U.S.C. 371 in the United States Designated/Elected Office
(DO/EO/US)*

Applicant(s):	Kenji OTODA, et al.	Docket No.:	49288.1600
Serial No.:	10/554,855	Filed in U.S.:	October 28, 2005
TITLE:	BRITTLE SUBSTRATE CUTTING SYSTEM AND BRITTLE SUBSTRATE CUTTING METHOD	Group Art Unit:	TBA
		Confirmation No.:	4197

Commissioner for Patents
Mail Stop PCT
Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed on August 31, 2006, in the above-identified application, enclosed herewith are the missing requirements needed to complete filing of the subject patent application.

1. Small Entity Status

[X] Small entity status under 37 C.F.R. §§1.9 and 1.27 has been indicated.

2. Fee Calculation

Basic Fee (\$900.00) + surcharge (\$130)					=	\$1030.00
Total Claims	96	minus	20	=	76	x \$50.00 = \$3800.00
Independent Claims	2	minus	3	=	0	x \$200.00 = \$0.00
Multiple dependent claim fee, if applicable						\$270.00 + 0.00
Fee for exceeding 100 pages						\$250.00

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

<input type="checkbox"/>	Missing parts filed within first month after due date – add	\$ 110.00	+	N/A
<input type="checkbox"/>	Missing parts filed within second month after due date – add	\$ 380.00	+	N/A
<input type="checkbox"/>	Missing parts filed within third month after due date – add	\$ 870.00	+	N/A
<input type="checkbox"/>	Missing parts filed within fourth month after due date – add	\$1360.00	+	N/A

Subtotal: 5080.00

If one of the “small entity” boxes above is checked, enter half (1/2) of the Subtotal and subtract. - 2540.00

Less \$2475.00 charged to Snell & Wilmer’s

Deposit Account No. 19-2814 at the time of filing of the application

-2475.00

TOTAL FEES ENCLOSED: 65.00

3. Enclosures (check as applicable)

<input checked="" type="checkbox"/>	Notice of Missing Requirements (copy)
<input checked="" type="checkbox"/>	Executed Combined Declaration and Power of Attorney
<input type="checkbox"/>	Power of Attorney
<input type="checkbox"/>	Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27
<input type="checkbox"/>	Assignment and required Recordation Form Cover Sheet
<input type="checkbox"/>	Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.99 (with PTO Form 1449 and copies of citations)
<input type="checkbox"/>	Other:

4. Manner of Payment

☒ Please charge Deposit Account No. 19-2814 in the amount of \$ 65.00.

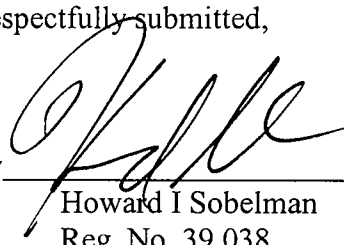
☐ Check in the amount of \$ _____ is attached (Snell & Wilmer Check No. _____).

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814.

The Patent and Trademark Office is respectfully requested to send an Official Filing Receipt and Notice of Acceptance of Application for this matter to the undersigned attorney and forward the application for examination.

Respectfully submitted,

By



Howard I Sobelman
Reg. No. 39,038

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/554,855	Kenji Otoda	49288.1600

20322 **HIS**
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PHOENIX, AZ 85004-2202

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SEP - 6 2006

SNELL & WILMER

INTERNATIONAL APPLICATION NO.	
PCT/JP04/06103	
I.A. FILING DATE	PRIORITY DATE
04/27/2004	04/28/2003

CONFIRMATION NO. 4197
371 FORMALITIES LETTER



OC000000020263646

Date Mailed: 08/31/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/28/2005
- English Translation of the IA filed on 10/28/2005
- Copy of the International Search Report filed on 10/28/2005
- Copy of IPE Report filed on 10/28/2005
- Preliminary Amendments filed on 12/07/2005
- Information Disclosure Statements filed on 10/28/2005
- Small Entity Statement filed on 10/28/2005
- U.S. Basic National Fees filed on 10/28/2005
- Priority Documents filed on 10/28/2005
- Specification filed on 10/28/2005
- Claims filed on 10/28/2005
- Abstracts filed on 10/28/2005
- Drawings filed on 10/28/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,

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08/31/2006 10:10:10 AM

must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/554,855	PCT/JP04/06103	49288.1600